

1 DANIEL I. KAPELOVITZ (Cal. Bar No. 268001)  
2 Law Offices of Daniel I. Kapelovitz  
3 7119 W. Sunset Blvd., #999  
4 West Hollywood, CA 90046  
5 (323) 839-6227 (mobile)  
6 Dan@LegalServicesDivision.com

7 Attorney for Defendant-Appellant  
8 JOHN C. SEARS

9 UNITED STATES DISTRICT COURT  
10 FOR THE CENTRAL DISTRICT OF CALIFORNIA

11	UNITED STATES OF AMERICA,	)	CR 14-00667-RGK
12		)	(14-tk-0412-PLA)
13	Plaintiff-Appellee	)	(CVB NO. 1060412/13/14)
14	v.	)	<b>DEFENDANT-APPELLANT'S</b>
15	JOHN C. SEARS,	)	<b>OPENING BRIEF</b>
16		)	
17	Defendant-Appellant.	)	
18	_____)		

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## INTRODUCTION

1  
2  
3 After walking all day for many miles, appellant John C. Sears and the two  
4 mules accompanying him needed to rest. He had no idea that he had entered a  
5 National Park, or that he would be committing a federal offense merely by sleeping  
6 there. At approximately 9 p.m., he was startled awake by two park rangers. The  
7 rangers informed Mr. Sears that he had two choices: leave or be arrested. Because  
8 it was dark by this time, and leaving the area would be unsafe for Mr. Sears and the  
9 mules, he had no choice but to be arrested.  
10  
11

12  
13 Mr. Sears was charged with violating a lawful order of a government  
14 employee in violation of 36 C.F.R. § 2.32(a)(2) and camping outside designated  
15 sites or areas in violation of 36 C.F.R. § 2.10(b)(10). On November 6, 2014, Mr.  
16 Sears, defending himself, was tried before a magistrate judge, without a jury. In  
17 less than two hours, Mr. Sears was tried, convicted, and sentenced on both counts.  
18  
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20 Mr. Sears now appeals both convictions based on the following grounds: (1)  
21 Mr. Sears's constitutional right to travel was impermissibly infringed upon; (2) the  
22 evidence presented at trial clearly established by the preponderance of the evidence  
23 that he was entitled to an acquittal based on his necessity defense; (3) he was  
24 entitled to a jury trial; and (4) he lacked the required *mens rea* to support a  
25 conviction for illegal camping.  
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## STATEMENT OF FACTS

1  
2 On May 9, 2014, John C. Sears, age 66, walked approximately 15 to 20  
3 miles accompanied by two mules. Needing rest after walking for so long, Mr.  
4 Sears sought a place where he and the mules could sleep. He came upon some  
5 hills with no houses on them and decided that this was a good place for him and  
6 the mules to spend the night.  
7

8  
9 Mr. Sears and his two animal companions walk all day every day. To them,  
10 walking is a way of life and the way they survive. They don't live in houses and  
11 they don't use automobiles, nor do they wish to. Mr. Sears considers this way of  
12 life, which has been around for hundreds of thousands of years, to be sacred.  
13

14  
15 Unknown to Mr. Sears at the time, he had entered federal land — land that  
16 had not been specifically designated by the National Park Service for camping.  
17 There were not any “no trespassing” or “no camping” signs, or anything else to  
18 notify Mr. Sears that camping was not allowed in the area. The only such signs  
19 were at the entrance of the Administrative Road, but Mr. Sears and the mules did  
20 not enter via that road.  
21

22  
23 At approximately 9 p.m. that night, after the sun had set, two park rangers  
24 came upon Mr. Sears while he was asleep in a sleeping bag in the Santa Monica  
25 Mountains National Recreational Area. The rangers woke Mr. Sears and told him  
26 that he was not allowed to stay overnight in that area and that he needed to move to  
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28

1 another location. Mr. Sears stated, “I’m a traveler and wherever I lay my head,  
2 that’s where I’m going to sleep that evening.”

3  
4 The rangers informed Mr. Sears that if he did not leave, he would be  
5 arrested. They told him to go to the Danielson Ranch, a ranch with equestrian  
6 facilities, miles away. However, Mr. Sears did not believe that he would be  
7 allowed in the private campground in the middle of the night. Moreover, Mr. Sears  
8 knew that it would be unsafe for him and the mules to leave the area and walk  
9 miles through the wilderness in the darkness. Accordingly, he refused to leave the  
10 area. The rangers then placed him under arrest and transported the mules to an  
11 animal control facility.  
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15 Mr. Sears was charged with violating a lawful order of a government  
16 employee in violation of 36 C.F.R. § 2.32(a)(2); camping outside designated sites  
17 or areas in violation of 36 C.F.R. § 2.10(b)(10); and violating the park  
18 superintendent’s compendium by tying a pack animal to a tree in violation of 36  
19 C.F.R. § 2.16(g). The government dismissed the § 2.16(g) charge prior to the trial.  
20  
21

22 On November 6, 2014, Mr. Sears was tried for the remaining two offenses.  
23 Because Mr. Sears was not entitled to appointed counsel, he represented himself.  
24 And because Mr. Sears was not given the choice of being tried by a jury of his  
25 peers, he was tried by a magistrate judge. He was convicted on both counts.  
26

27  
28 Mr. Sears now appeals both convictions.

## ARGUMENT

### I. The Regulations Under Which Mr. Sears Was Convicted Violate His Constitutional Right to Travel

Mr. Sears lives outside at all times. Accompanied by two mules, Mr. Sears walks all day every day. He does not want to live in a house or use an automobile.

It is literally impossible for Mr. Sears to live this way, which he has every right to do, and not be able to sleep when he and the mules need rest. There are not enough designated campsites in the national park system for someone who travels by foot to be able to get from one designated campsite to another before nightfall.

Thus, 36 C.F.R. § 2.10(b)(10) (camping outside designated sites or areas) infringes Mr. Sears's right to travel.

As Mr. Sears explained at trial, "If you're going to move freely in this country, if you're going to be able to walk, do something besides sit in a car, you need to have access to public space. You have to go to sleep at night, and public space is the space where you can do this. And so we were claiming our right to do just that. This is public space." Trial Transcript at 47:8-13.

#### A. The Constitutional Right to Travel is a Fundamental Right

The constitutional right to travel has been recognized repeatedly by the United States Supreme Court as a fundamental right. See Saenz v. Roe, 526 U.S. 489, 501 (1999); Shapiro v. Thompson, 394 U.S. 618, 629 (1969). Although not explicitly mentioned in a particular constitutional provision, it has been found to be



1 grounded, *inter alia*, in the Privileges and Immunities Clause, the Due Process  
2 Clause, and the Equal Protection Clause. See Shapiro, 394 U.S. at 630 n. 8; Mem’l  
3 Hosp. v. Maricopa Cnty., 415 U.S. 250, 269–70 (1974).

4  
5 The right to travel is a part of the “liberty” of which the  
6 citizen cannot be deprived without due process of law  
7 under the Fifth Amendment. . . . In Anglo-Saxon law  
8 that right was emerging at least as early as the Magna  
9 Carta. Chafee, *Three Human Rights in the Constitution*  
10 of 1787 (1956), 171-181, 187 *et seq.*, shows how deeply  
11 engrained in our history this freedom of movement is.  
12 Freedom of movement across frontiers in either direction,  
13 and inside frontiers as well, was a part of our heritage.  
14 Travel abroad, like travel within the country, may be  
15 necessary for a livelihood. It may be as close to the heart  
16 of the individual as the choice of what he eats, or wears,  
17 or reads. Freedom of movement is basic in our scheme of  
18 values. “Our nation,” wrote Chafee, “has thrived on the  
19 principle that, outside areas of plainly harmful conduct,  
20 every American is left to shape his own life as he thinks  
21 best, do what he pleases, go where he pleases.”

22 Kent v. Dulles, 357 U.S. 116, 125-26 (1958) (emphasis added) (citations omitted).

23 “Undoubtedly the right of locomotion, the right to remove from one place to  
24 another according to inclination, is an attribute of personal liberty, and the right,  
25 ordinarily, of free transit from or through the territory of any State is a right  
26 secured by the Fourteenth Amendment and by other provisions of the  
27 Constitution.” Edwards v. California, 314 U.S. 160, 179 (1941) (Douglas, J.,  
28 concurring) (quoting Chief Justice Fuller in Williams v. Fears, 179 U.S. 270, 274  
(1900)).

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At trial, Mr. Sears testified regarding how important he considers his fundamental right to travel to be:

We live on our feet. We don't live in houses; we don't use automobiles. Walking is a way of life for us and that's the way we survive. It enables us to live. It makes us want to live; it gives our life meaning. This is what we are.

.....  
This way of life is sacred really. It's been here for thousands — hundreds of thousands of years, this way of life we live. We consider it sacred. And to deny us the right to sleep is a bullet to our head. This is not a petty case. This is an important case for us. To deny us the right to go to sleep on public space is a bullet to the head. And the National Park Service is about the open spaces, about human beings relating to those spaces in the old ways naturally; not driving in \$300,000 motor homes and having parties. And instead, these laws and regulations are going after this old, sacred way of life, this much-respected way of life. And that has to be addressed, it has to be continually addressed because it's not — it's going to be wiped out. And our ability to be human beings is disappearing fast. We're being closed up in these buildings. We spend most of our time in buildings and automobiles in this manmade inside world. And it's not a place — it's a place, but it's not a place to be all day every day. You have to be with nature to survive, to want to live. We all need it, and it's disappearing very fast.

Trial Transcript at 41:11-14; 69:12-70:6.

**B. The Constitution Protects the Fundamental Right to Both Interstate and Intrastate Travel**

Although the Supreme Court and the Ninth Circuit have not yet decided whether the Constitution protects a fundamental right to intrastate travel, see

1 Mem'l Hosp., 415 U.S. at 255–56; Nunez v. City of San Diego, 114 F.3d 935, 943,  
2 n. 7 (9th Cir. 1997), several circuits have recognized this constitutional right. See  
3 Selevan v. New York Thruway Auth., 584 F.3d 82, 100 (2d Cir. 2009) (“[W]e  
4 have recognized the Constitution’s protection of a right to intrastate as well as  
5 interstate travel.”); Johnson v. City of Cincinnati, 310 F.3d 484, 498 (6th Cir.  
6 2002) (“In view of the historical endorsement of a right to intrastate travel and the  
7 practical necessity of such a right, we hold that the Constitution protects a right to  
8 travel locally through public spaces and roadways.”); Lutz v. City of York, 899  
9 F.2d 255, 266–68 (3d Cir. 1990) (recognizing that an “anti-cruising” ordinance  
10 implicated the right to intrastate travel, a right derived from principles of  
11 substantive due process); King v. New Rochelle Mun. Hous. Auth., 442 F. 2d 646,  
12 648 (2d Cir. 1971) (“It would be meaningless to describe the right to travel  
13 between states as a fundamental precept of personal liberty and not to acknowledge  
14 a correlative constitutional right to travel within a state.”); Cole v. Hous. Auth. of  
15 Newport, 435 F.2d 807 (1st Cir. 1970) (implicitly recognizing right to intrastate  
16 travel by invalidating city’s two-year residency requirement for public housing  
17 applicants in challenge brought by one plaintiff who had moved from out of state  
18 and another who had moved from within state to the city). Moreover, after an  
19 extensive search, defense counsel could not find a single case where a court held  
20 that there is no constitutional right to intrastate travel.  
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1           **C.     Because the Right to Travel Is a Fundamental Right, Any**  
2           **Regulation Infringing That Right Must Survive Strict Scrutiny,**  
3           **and the Regulations at Issue Fail to Do So**

4           When a fundamental liberty interest protected by the substantive due process  
5 right is involved, the government cannot infringe on that right unless it survives  
6 strict scrutiny, that is “unless the infringement is narrowly tailored to serve a  
7 compelling state interest.” Washington v. Glucksberg, 521 U.S. 702, 721 (1997)  
8 (citation omitted). A court must evaluate whether the regulation is the least  
9 restrictive means to accomplish the government’s goal, and determine whether any  
10 other methods exist to achieve the desired result.  
11

12           In Johnson v. City of Cincinnati, the Sixth Circuit was faced with deciding  
13 the constitutionality of an ordinance excluding an individual for up to ninety days  
14 from the “public streets, sidewalks, and other public ways” in all drug-exclusion  
15 zones if the individual is arrested or taken into custody within any drug-exclusion  
16 zone for one of several enumerated drug offenses. Johnson, 310 F.3d at 487-88.  
17 Applying strict scrutiny, the Johnson court held that the ordinance was  
18 unconstitutional both on its face, and as applied to the plaintiffs.  
19

20           The Code of Federal Regulations’ anti-camping law fails to survive strict  
21 scrutiny analysis. Here, 36 C.F.R. § 2.10(b)(10) makes it a crime to camp  
22 anywhere on National Park Service property that is not a specifically designated  
23 camping site or area. Even assuming that whatever interest such a regulation is  
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1 meant to address is a compelling one, a regulation criminalizing camping  
2 everywhere in a National Park except for those relatively few designated  
3 campgrounds is not narrowly tailored to achieve that interest, nor is it the least  
4 restrictive means. In fact, this regulation completely abolishes the fundamental  
5 right to travel to those who, like Mr. Sears, travel by foot. There are not enough  
6 designated campsites for these travelers to be able, every time, to reach a new one  
7 before nightfall.  
8

9  
10 “[W]hen constitutional rights are at issue, strict scrutiny requires legislative  
11 clarity and evidence demonstrating the ineffectiveness of proposed alternatives.”  
12 Johnson, 310 F.3d at 504 (citing Grutter v. Bollinger, 288 F.3d 732, 749-51 (6th  
13 Cir. 2002)). Here, the government could easily promulgate a less-restrictive  
14 regulation to achieve its goals, such as allowing camping everywhere except where  
15 there was a particular compelling reason not to allow it rather than a blanket  
16 prohibition.  
17

18  
19 Accordingly, this regulation is unconstitutional both on its face and as  
20 applied to Mr. Sears. Likewise, an order from a federal employee that violates this  
21 fundamental right is not a lawful order. The rangers’ order that Mr. Sears leave or  
22 be arrested was not lawful because threatening someone with arrest for exercising  
23 a fundamental right impermissibly infringes upon that right.  
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1 Thus, 36 C.F.R. § 2.32(a)(2) (violating a lawful order of a government  
2 employee), as applied to Mr. Sears, is also unconstitutional.

3 **II. The Trial Court Should Have Found Mr. Sears Not Guilty on Both**  
4 **Charges Based on the Overwhelming Evidence Supporting Mr. Sears's**  
5 **Necessity Defense**

6 To succeed on a necessity defense, a defendant must show: (1) that he was  
7 faced with a choice of evils and chose the lesser evil; (2) that he acted to prevent  
8 imminent harm; (3) that he reasonably anticipated a causal relation between his  
9 conduct and the harm to be avoided; and (4) that there were no other legal  
10 alternatives to violating the law. United States v. Arellano-Rivera, 244 F.3d 1119,  
11 1125-26 (9th Cir. 2001); see also Ninth Circuit Model Jury Instruction 6.6  
12 Necessity (Legal Excuse). The application of the necessity defense is reviewed de  
13 novo. Id. at 1125.

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18 When a statute is silent on the question of an affirmative defense and the  
19 affirmative defense does not negate an essential element of the offense, the burden  
20 is on the defendant to prove the elements of the defense by a preponderance of the  
21 evidence. Dixon v. United States, 548 U.S. 1, 7 (2006). Based on the evidence  
22 presented at trial, Mr. Sears proved by a preponderance of the evidence that he was  
23 entitled to an acquittal based on his necessity defense.  
24  
25

26 The first prong of the necessity defense requires a  
27 showing that [the Defendant] was faced with a choice  
28 between two evils and chose the lesser one. This prong  
reflects the underlying utilitarian principle of the

1 necessity defense. We have explained that the necessity  
2 defense “justifies criminal acts taken to avert a greater  
3 harm, maximizing social welfare by allowing a crime to  
4 be committed where the social benefits of the crime  
outweigh the social costs of failing to commit the crime.”

5 United States v. Bibbins, 637 F.3d 1087, 1094 (9th Cir. 2011) (citations omitted).

6 Here, Mr. Sears was faced with a choice between risking his life and limb  
7 and that of his mule companions, on the one hand, and going back to sleep in his  
8 sleeping bag in an area not designated for camping, on the other. There is no  
9 question that the latter choice was the lesser evil.  
10  
11

12 By violating a lawful order of a government employee by refusing to leave  
13 in the middle of the night, Mr. Sears reasonably anticipated a causal relation  
14 between his conduct and the harm to be avoided, and he acted to prevent that  
15 imminent harm.  
16

17 Furthermore, there were no other legal alternatives to violating the law. The  
18 rangers claimed that he could go to the Danielson Ranch, a ranch that allows  
19 horses, and presumably mules. But Mr. Sears was never made aware that he would  
20 be allowed to stay the night there. And based on his experience, he in fact believed  
21 that he would not be allowed to stay the night there.  
22  
23

24 More importantly, there was absolutely no evidence produced at trial that the  
25 rangers would assist Mr. Sears and the mules with getting to this campground, a  
26 campground that was miles away. Thus, even if Mr. Sears believed that he would  
27  
28

1 be allowed to stay the night at this ranch, he would still have to risk his life and the  
2 lives of the mules to get there, by walking for miles in complete darkness (there  
3 was no moon) through the wilderness with two mules.  
4

5 Mr. Sears, who appeared pro se, was obviously presenting a necessity  
6 defense and provided all of the evidence necessary to prove such a defense. Mr.  
7 Sears explained at length that it was not safe for him and the mules to move from  
8 their resting place while it was pitch dark. He also explained that he did not  
9 believe that going to the Danielson Ranch was a realistic option. “My experience  
10 with state parks and all the rest of it is — especially for equestrians — no dice, no  
11 deal, you can’t come in here and it’s dawn to dusk closure, open at dawn, closed at  
12 dusk, and so that had been my experience. And when he told me I could go there,  
13 it was not believable.” Trial Transcript at 47:23-48:3.  
14  
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18 Mr. Sears also explained that he was never informed that the ranger made a  
19 special arrangement for him: “I have no recollection of the officer informing me  
20 that he made a special call to get me a special entry for the night. I wasn’t  
21 informed of that. I assumed that when I got there, it was going to be closed, just  
22 like it usually is. And under those conditions, I said no, I’m not going to leave. . . .  
23 And so I was arrested.” Trial Transcript at 48:4-9. Furthermore, the Danielson  
24 Ranch is on state land, so there would be no reason for Mr. Sears to believe that a  
25 federal employee would be able to arrange his stay at a facility on state land.  
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1 Based on the magistrate judge's ruling, it appears that he never even  
2 considered Mr. Sears's necessity defense. The magistrate judge stated, "Some of  
3 the evidence really isn't all that relevant to whether you are in violation or not. For  
4 example, whether or not they told you about this Daniels [*sic*] Ranch, whether you  
5 believed them, whether they made the call is sort of irrelevant." Trial Transcript at  
6 66:20-24.  
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9 Not only were these facts relevant, they were crucial to Mr. Sears's necessity  
10 defense. The judge further stated, "I don't get to consider whether you felt it was a  
11 good idea for you to leave the site at that point or not." Trial Transcript at 67:10-  
12 11. However, the judge was not only allowed to consider whether it was safe leave  
13 the site at that point, he was required to consider this evidence in order to rule on  
14 Mr. Sears's affirmative defense.  
15  
16

17 Furthermore, in order for Mr. Sears to exercise his right to travel, he needs to  
18 be able to sleep after traveling all day. As he explained at trial,  
19

20 We'd been walking all day long. It was time to stop.  
21 You walk all day, a most necessary part of that is to go to  
22 sleep at night and stop and rest your bones so you can get  
23 up in the morning and do it again. So we did that. And  
24 we did not plan to come here. We didn't even know it  
25 was there. We simply looked down the street; and as we  
26 looked down the street, we could see hills. And it was  
27 obvious there weren't any houses on them, and so we  
28 proceeded there as a potential place to where we could  
stop for the night and go to sleep.

Trial Transcript at 41:17-42:1

1 At trial, Mr. Sears clearly established by a preponderance of the evidence  
2 that he was entitled to a not guilty verdict because he was faced with a choice of  
3 evils and chose the lesser evil; he acted to prevent imminent harm; he reasonably  
4 anticipated a causal relation between his conduct and the harm to be avoided; and  
5 there were no other legal alternatives to violating the law. Accordingly, both  
6 convictions should be reversed.  
7  
8

9 **III. Mr. Sears’s Conviction Should Be Reversed, Because, Based on the**  
10 **Maximum Punishment Allowed, He Was Entitled to a Jury Trial**

11 The Sixth Amendment provides that “in all criminal prosecutions, the  
12 accused shall enjoy the right to a speedy and public trial, by an impartial jury of the  
13 State and district wherein the crime shall have been committed. . . .” U.S. Const.  
14 Sixth Am. Similarly, Article III of the United States Constitution provides that  
15 “the Trial of all Crimes, except in Cases of Impeachment, shall be by Jury.” U.S.  
16 Const., Article III, § 2, cl. 3.  
17  
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19  
20 Providing an accused with the right to be tried by a jury  
21 of his peers gave him an inestimable safeguard against  
22 the corrupt or overzealous prosecutor and against the  
23 compliant, biased, or eccentric judge. . . . [T]he jury trial  
24 provisions in the Federal and State Constitutions reflect a  
25 fundamental decision about the exercise of official power  
– a reluctance to entrust plenary powers over the life and  
liberty of the citizen to one judge or to a group of judges.

26 Duncan v. Louisiana, 391 U.S. 145, 156 (1968). “The primary purpose of the jury  
27 in our legal system is to stand between the accused and the powers of the State.  
28

1 Among the most ominous of those is the power to imprison.” Lewis v. United  
2 States, 518 U.S. 322, 334 (1996) (Kennedy, J., concurring in judgment). “[T]he  
3 jury interposes between the accused and his accuser the judgment of laymen who  
4 are less tutored perhaps than a judge or panel of judges, but who at the same time  
5 are less likely to function or appear as but another arm of the Government that has  
6 proceeded against him.” Baldwin v. New York, 399 U.S. 66, 72 (1970).

9 Mr. Sears was never given the option to be tried by a jury. In its Trial  
10 Memorandum, the government stated that the right to a jury trial for Mr. Sears was  
11 “unavailable.” Government’s Trial Memorandum at 2:24-26 (citing Fed. R. Crim.  
12 P. 58(d)(2)(F); United States v. Stanfill El, 74 F.3d 1150 (9th Cir. 2013)).  
13 Presumably, the government and the trial court believed that Mr. Sears had no right  
14 to a jury trial because, even though the plain language of the Constitution could not  
15 be clearer, it is well-settled that a criminal defendant is only entitled to a jury trial  
16 when the charged offense is deemed “serious.” Offenses carrying a maximum  
17 punishment of only six months or less in jail are considered “petty.”  
18  
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22 However, courts must consider not only the length of the authorized prison  
23 or jail sentence but also whether ““the seriousness of other punishment is enough in  
24 itself to require a jury trial.”” Blanton v. North Las Vegas, 489 U.S. 538, 542  
25 (1989) (quoting Duncan, 391 U.S. at 161 (emphasis in Blanton)).  
26

27 As the Ninth Circuit held in United States v. Ballek,

1 [T]here clearly comes a point where potential punishment  
2 other than incarceration may be so severe that the offense  
3 will no longer be considered petty. This is especially true  
4 if the punishment may be imposed in addition to a term  
5 of imprisonment. . . . The question is how intrusive or  
6 severe the additional punishment may be. Where the  
7 additional punishment could involve the imposition of a  
8 very large fine, or a very long period of probation, or the  
9 forfeiture of substantial property, the severity of the total  
10 punishment may be sufficiently great so as to turn what  
11 would otherwise be a petty offense into a serious one.

12 United States v. Ballek, 170 F.3d 871, 876 (9th Cir. 1999) (citations omitted).

13 In such circumstances, a defendant is entitled to a jury trial “if he can  
14 demonstrate that any additional statutory penalties, viewed in conjunction with the  
15 maximum authorized period of incarceration, are so severe that they clearly reflect  
16 a legislative determination that the offense in question is a ‘serious’ one.” Blanton  
17 at 543. Accord Lewis, 518 U.S. at 326; United States v. Nachtigal, 507 U.S. 1, 3-4  
18 (1993).

19 The Supreme Court has recognized that the possibility or imposition of a  
20 term of probation will not render an otherwise “petty” offense into a “serious one”  
21 where such a penalty is an alternative to the term of incarceration. Nachtigal, 507  
22 U.S. at 5. However, the analysis changes when the authorized term of probation is  
23 in addition to the authorized period of incarceration, as it is in this case. Here, the  
24 maximum punishment for each violation Mr. Sears faced is six months in jail, a  
25 \$5,000 fine, and a term of five years supervised probation. See 36 C.F.R. § 1.3(a).  
26  
27  
28

1 For example, an offense has been deemed serious for the right-to-jury  
2 purposes when the defendant faces the possibility of losing his or her driver's  
3 license for a significant period of time. Richter v. Fairbanks, 903 F.2d 1202, 1204-  
4 05 (8th Cir. 1990) (possible revocation of driver's license for fifteen years,  
5 considered along with maximum authorized six-month term of incarceration,  
6 rendered third-offense DWI a serious crime).

9 Pursuant to 36 C.F.R. § 1.3(a), a person convicted of violating § 2.10(b)(10)  
10 or § 2.32(a)(b) "shall be punished by a fine as provided by law, or by  
11 imprisonment not exceeding 6 months, or both, and shall be adjudged to pay all  
12 costs of the proceedings." Moreover, a defendant who has been found guilty of a  
13 petty offense misdemeanor can also be sentenced to a term of probation up to five  
14 years in addition to the term of incarceration. 18 U.S.C. § 3561(a)(1)(c) ("A  
15 defendant who has been found guilty of an offense may be sentenced to a term of  
16 probation unless— . . . (3) the defendant is sentenced at the same time to a term of  
17 imprisonment for the same or a different offense that is not a petty offense.")  
18 (emphasis added).

23 Nachtigal is distinguishable. In that case, the Court held that there is no  
24 right to a jury trial when the maximum punishment is either six months in jail or  
25 five years probation. In 1994, a year after Nachtigal was decided, however, 18  
26 U.S.C. § 3561(a)(3) was amended to add the phrase "that is not a petty offense."  
27  
28

1 Pub. L. 103–322, § 280004. As a result, so-called petty offenses are now  
2 punishable by six months in jail and five years probation combined. Thus, Mr.  
3 Sears faced a maximum penalty of six months of incarceration and five years  
4 probation (as well as a \$5,000 fine), a much more serious punishment than either  
5 six months in jail or five years incarceration standing alone. If a defendant facing  
6 six months and a day is entitled to a jury trial, surely a defendant facing six months  
7 incarceration and five years probation is entitled to a jury trial. Mr. Sears’s  
8 convictions should be reversed to allow him to be tried by a jury of his peers.

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12 **IV. Mr. Sears’s Camping Violation Should Be Reversed Because He Did**  
13 **Not Possess the Required *Mens Rea* for the Offense Due to the Lack of**  
14 **Notice That He Was on Federal Land and That Sleeping in an Area Not**  
15 **Designated for Camping Was a Federal Offense**

16 According to the Government’s Trial Memorandum, the elements of  
17 violating a lawful order of a government employee in violation of 36 C.F.R. §  
18 2.32(a)(2) are “(1) defendant was on National Park Service property; [and] (2)  
19 defendant violated a lawful order of a NPS ranger to leave.” See Government’s  
20 Trial Memorandum at 3:20-25. Similarly, the Government’s Trial Memorandum  
21 lists only two elements of the offense of camping outside designated sites or areas  
22 in violation of 36 C.F.R. § 2.10(b)(10): “(1) defendant was on National Park  
23 Service property; [and] (2) defendant was camping outside a designated site or  
24 area.” See Government’s Trial Memorandum at 4:3-8.

1 Thus, according to the Government’s Trial Memorandum, neither offense  
2 requires any *mens rea*. This goes against clearly established Ninth Circuit and  
3 Supreme Court precedent. In Morrisette v. United States, the United States  
4 Supreme Court stated, “[T]he contention that an injury can amount to a crime only  
5 when inflicted by intention is no provincial or transient notion. It is as universal  
6 and persistent in mature systems of law as belief in freedom of the human will and  
7 a consequent ability and duty of the normal individual to choose between good and  
8 evil.” Morrisette v. United States, 342 U.S. 246, 250 (1952).

12 The Morrisette court made clear that strict liability  
13 crimes are an exception in our legal system and are  
14 restricted to crimes which can be termed “public welfare  
15 offenses,” i.e., statutes whose purpose is regulation of  
16 “industries, trades, properties or activities that affect  
17 public health, safety or welfare.” Id. at 254. But the  
18 Morrisette court further emphasized that courts should be  
19 most reluctant when interpreting statutes to dispense with  
20 a *mens rea* requirement absent a clear legislative  
21 intention to do so. . . .

20 United States v. Launder, 743 F.2d 686, 689 (9th Cir. 1984). “The existence of a  
21 *mens rea* is the rule of, rather than the exception to, the principles of Anglo-  
22 American criminal jurisprudence.” Dennis v. United States, 341 U.S. 494, 500  
23 (1951).

25 Because strict liability crimes are generally disfavored, the Supreme Court  
26 has “suggested that some indication of congressional intent, express or implied, is  
27 required to dispense with *mens rea* as an element of a crime.” Staples v. United  
28

1 States, 511 U.S. 600, 605-06 (1994); see also United States v. Torres-Flores, 502  
2 F.3d 885, 889 n. 5 (9th Cir. 2007).

3  
4 Therefore, the two regulations at issue should be read to require a *mens rea*  
5 component. The government even conceded during the trial that a defendant  
6 charged under 36 C.F.R. § 2.10(b)(10) has to know that he is camping in an  
7 undesignated area. Trial Transcript at 65:23-66:4.

9 Moreover, a criminal defendant's mistake of fact is a valid defense if it  
10 negates the existence of a requisite *mens rea* component of the crime charged.  
11 United States v. Brooks, 841 F.2d 268, 269 (9th Cir. 1988). Here, Mr. Sears  
12 mistakenly believed that he was not on federal park land. He believed he was in  
13 public space where it was legal to camp. According to the park ranger's testimony,  
14 there is a sign at the entrance to the administrative road that states that camping is  
15 not allowed, and that the area is only accessible to park employees for  
16 administrative purposes only. Trial Transcript at 19:17-19; 31:17-19. However, it  
17 was clear that Mr. Sears never entered the park by way of this entrance and thus he  
18 did not see any sign. In fact, he did not even know he was on federal land, much  
19 less that he was at an undesignated camping area. Moreover, Mr. Sears had no  
20 notice that by going to sleep, he was violating the Code of Federal Regulations.  
21 There are tens of thousands of such regulations criminalizing behavior the vast  
22 majority of people would have no idea is against the law.  
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